

**BY ORDER OF THE  
SECRETARY OF THE AIR FORCE**



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**8 SEPTEMBER 2004**

**Security**

**INDUSTRIAL SECURITY PROGRAM  
MANAGEMENT**

**COMPLIANCE WITH THIS PUBLICATION IS MANDATORY**

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This instruction implements Air Force Policy Directive (AFPD) 31-6, Industrial Security. It provides guidance for implementing the National Industrial Security Program. Use this instruction with DOD 5220.22-M, National Industrial Security Program Operating Manual (NISPOM), DOD 5220.22-R, Industrial Security Regulation, and DOD 5200.1-R, Information Security Program Regulation and changes thereto. Maintain and dispose of all records created as a result of processes prescribed in this instruction in accordance with AFMAN 37-139, Records Disposition Schedule. HQ USAF/XOF is delegated approval authority for revision of this AFI.

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**(USAFE) AFI 31-601, 22. November 2000, is supplemented as follows:** This supplement applies to all US Air Forces in Europe (USAFE) units, including assigned or attached Air Force Reserve Command (AFRC) and Air National Guard units and personnel as appropriate. Refer recommended changes and conflicts between this and other publications to the Information and Personnel Security office (HQ USAFE/SFXI), Unit 3050 Box 135, APO AE 09094-0135, on AF Form 847, **Recommendation for Change of Publication**. Units and organizations may supplement this guidance or create a unit instruction according to AFI 33-360 Volume 1, *Publications Management Program*. Send one copy of the supplement or unit instruction to HQ USAFE/SFXI. Ensure that all records created as a result of processes prescribed in this publication are maintained in accordance with AFMAN 37-123, *Management of Records* and disposed of in accordance with the Air Force Records Disposition Schedule (RDS) located at: <https://webrims.amc.af.mil>.

## ***SUMMARY OF REVISIONS***

**This document is substantially revised and must be completely reviewed.**

It aligns its guidance with the revised Air Force Policy Directive (AFPD) 31-6, Industrial Security. Revisions include renumbering the chapters; updating office symbols and publication references; requiring the identification of government information and sensitive resources that require protection in classified contract documents; mandating the integration of on-base contractor operations into the installation information security program per AFPD 31-6; requiring the execution of a security agreement with contractors that perform contractual services on Air Force installations and require access to classified and gives installation commanders the discretionary authority to also require the execution of an security agreement with on-base contractors that require access to sensitive unclassified information or frequent "entry" to the installation; clarifying responsibilities and procedures for processing National Interest Determinations (NIDs); requiring a review of the DD Form 254, Contract Security Classification Specification, at two year intervals; requiring subcontractors that perform contractual services on Air Force installations to execute a Visitors Group Security Agreement (VGSA) when execution is required per this instruction; requiring contractors that use government automated information systems (AIS) to undergo a background investigation prior to AIS usage; and eliminating the requirement to use the DD Form 696, Industrial Security Inspection Report. (NOTE: As used in this publication, the term "security review" is not synonymous nor does it negate the "security and policy review" requirement of AFI 35-101, Air Force Public Affairs Policies and Procedures. The term "sensitive unclassified information" refers to information identified in a classified contract that has been marked "For Official Use Only (FOUO)" per DOD 5200.1-R, Information Security Program, and is exempt from release under the Freedom of Information Act (FOIA)).

**(USAFE)** This update incorporates interim change (IC) 2004-01 to AFI 31-601, *Industrial Security Program Management*, United States Air Forces in Europe (USAFE) Supplement 1. The revision clarifies program management authority and responsibilities within USAFE, clarifies the requirement to include contractor security clearance eligibility and investigations requirements in contracts, solicitation, statement of works (SOW), statement of objectives (SOO), and or performance work statement (PWS) solicitations, specifies that Air Force Federal Acquisition Regulation Supplement (AFFARS) clause 5352.242-9001, *Background Checks for Contractor Personnel Requiring Entry/Access to Installations/Locations* does not apply to overseas locations, adds specific security requirements to be included on the DD Form 254, **Department of Defense Contract Security Classification Specification** for United States (US) contractors requiring access to the USAFE Secret Internet Protocol Router Network (SIPR-Net), and clarifies the requirement to include AFFARS 5352.204-9000, *Notification of Government Security Activity and Visitor Group Security Agreements Clause* on the DD Form 254. The revision also adds the requirement to include the contract, solicitation, SOW, SOO, and or PWS with the initial draft DD Form 254 during coordination with the Information Security Program Manager (ISPM), clarifies required ISPM and Special Security Office (SSO) coordination on the DD Form 254 prior to contracting officer (CO) acceptance, adds DD Form 254 coordination requirements for contracts with multiple performance locations within USAFE, and clarifies the requirement for CO signature on and distribution of the DD Form 254 prior to contractor performance. Lastly, the revision clarifies Visitor Group Security Agreement (VGSA) development, coordination, and issuance for contracts at multiple performance locations within USAFE, includes information to reinforce AFFARS clause 5352.204-9000, and adds prescribed forms. This IC applies to all USAFE units, including assigned or attached Air Force Reserve Command

(ARFC) and Air National Guard (ANG) units and personnel as appropriate. Refer recommended changes and conflicts between this and other publications to the Information and Personnel Security Office (HQ USAFE/SFXI), Unit 3050 Box 135, APO AE 09094-0135, on AF Form 847, **Recommendation for Change of Publication**. Units and organizations may supplement this guidance or create a unit instruction according to AFI 33-360, Volume 1, *Publications Management Program*. Send one copy of the supplement or unit instruction to HQ USAFE/SFXI. A bar (|) indicates revisions from the previous edition.

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## Chapter 1

### GENERAL PROVISIONS AND REQUIREMENTS

**1.1. Policy.** It is Air Force policy to identify in its classified contracts (DD Form 254, **Contract Security Classification Specification**) [DOD 5220.22-R] specific government information (regardless of classification, sensitivity, physical form, media or characteristics) and sensitive resources, which must be protected against compromise and or loss while entrusted to industry.

**1.2. Purpose.** This instruction implement Executive Order 12829, *National Industrial Security Program*, DOD 5220.22-M, *National Industrial Security Program Operating Manual (NISPOM)*, and DOD 5220.22-R, *Industrial Security Regulation (ISR)* and AFD 31-6, *Industrial Security*. It assigns functional responsibilities and establishes a system of review that identifies outdated, inappropriate and unnecessary contractual security requirements. It outlines and provides guidance for establishing on-base integrated contractor visitor groups.

**1.3. Scope.** The security policies, requirements and procedures identified in this instruction are applicable to Air Force personnel and on-base DOD contractors performing services under the terms of a properly executed contract and associated security agreement or similar document, as determined appropriate by the installation commander (IC).

**1.4. Submitting Interpretation and Waiver Requests.** Submit requests regarding the interpretation, clarification and/or waiving of requirements stipulated in Air Force Policy Directive (AFPD) 31-6, *Industrial Security* and this instruction through command Information Security Program Manager (ISPM) channels to HQ USAF/XOFI, 1340 Air Force Pentagon, Washington, D.C., 20330-1340.

**1.4. (USAFE)** Within USAFE, the statement “through command Information Security Program Manager (ISPM) channels” is defined as from the Main Operating Base (MOB) ISPM to the Headquarters (HQ) USAFE Information Security Branch, (HQ USAFE/SFXI). For the HQ USAFE Staff, the statement is defined as from the HQ USAFE Staff organizations, agencies, and units to HQ USAFE/SFXI.

### 1.5. Authority and Responsibilities.

1.5.1. The Secretary of Defense (SECDEF) is the Cognizant Security Agency (CSA) for the Department of Defense (DOD). The SECDEF has designated the Defense Security Service (DSS) as the Cognizant Security Office (CSO) for DOD. DSS oversees security for cleared contractor facilities located off-base and on-base when so requested by the installation commander, in writing.

1.5.2. The Administrative Assistant to the Secretary of the Air Force (SAF/AA) is designated the Air Force Senior Security Official responsible for ensuring implementation of the Industrial Security Program.

1.5.3. Headquarters United States Air Force, Director of Security Forces, Information Security Division, (HQ USAF/XOFI) is responsible for industrial security policy development, interpretation, administration and program oversight.

1.5.3. (USAFE) The Director of Security Forces (HQ USAFE/SF) is the Information Security Program Manager (ISPM) for the command. The USAFE Information Security Branch (HQ USAFE/SFXI) manages the program for the command and provides direct oversight for the USAFE staff

directorates, organizations, and units. Main Operating Bases (MOB) are responsible for ensuring supported Geographically Separated Units (GSU) and Numbered Air Force (NAF) staffs, when located on the MOB, comply with this program. NAFs are responsible for ensuring contingency sites and other locations under their oversight and control comply with this program.

1.5.4. The Assistant for Federal Acquisition Regulation (FAR) System, Deputy Assistant Secretary (Contracting), Assistant Secretary (Acquisition), (SAF/AQC) is responsible for formulating and interpreting contracting policy and issuing supplemental guidance to the FAR. The contracting office (CO) is responsible for coordinating contractual changes and modifications with Air Force contractors.

1.5.5. Headquarters United States Air Force, Director of Intelligence, Surveillance, and Reconnaissance (HQ USAF/XOI) is responsible for Sensitive Compartmented Information (SCI) policy, when applicable to Air Force (AF) awarded contracts.

1.5.6. Headquarters United States Air Force, Director of Communications and Information (HQ USAF/SC) is responsible for formulating and overseeing implementation of automated information systems (AISs) security policy, and disseminating communications security (COMSEC) and emission security (EMSEC) guidance, when applicable to AF awarded contracts. HQ USAF/SC also formulates and disseminates guidance pertaining to DOD Regulation 5400.7/AF Supplement, *Freedom of Information Act Program*. Referenced publication addresses the handling, marking and protection of sensitive unclassified and "For Official Use Only (FOUO)" information.

1.5.7. Headquarters United States Air Force, DCS/Air & Space Operations, Director of Intelligence, Surveillance and Reconnaissance (HQ USAF/XOI), 1480 Air Force Pentagon, Washington D.C., 20330-1480, formulates policy and disseminates guidance pertaining to AFRPD 10-11, *Operations Security (OPSEC)*, requirements, when applicable to an AF awarded contract.

1.5.8. The Secretary of the Air Force, Office of Public Affairs Security and Review Division (SAF/PAS) formulates policy and disseminates guidance pertaining to the clearance and release of information to the public, in any form.

1.5.9. The IC or designated designee is responsible for authorizing and/or granting DOD contractors access to the installation and for providing appropriate security supervision over the on-base contractor operation and its personnel.

1.5.9. (USAFE) HQ USAFE/SF is approval authority for the HQ USAFE staff.

## **1.6. Program Implementation and Administration.**

1.6.1. The IC will:

1.6.1.1. Designate on-base contractor operations that require access to classified information as an intermittent visitor, visitor group, or cleared facility.

1.6.1.1. (USAFE) Designate all USAFE contractor operations requiring access to classified information as either Visitor Groups or Intermittent Visitors.

1.6.1.2. Execute a VGSA with all contractor operations located on Air Force installations that require or will have access to classified information. This provision may also be extended to include other contractors that perform contractual services on the installation and require or have access to sensitive unclassified information or those that require routine or infrequent "entry" to the installation in the performance of other types of contracts, services or maintenance.

1.6.1.3. Ensure NISPOM or equivalent security procedures are implemented for contractor operations supporting classified efforts within the confines of the installation.

1.6.1.4. Designate the installation ISPM (see AFI 31-401, Information Security Program Management) as the authority to perform industrial security program oversight for on-base contractor operations, unless unique or special operational circumstances warrant the use of the DSS.

1.6.1.5. Ensure security reviews are conducted on those on-base contractor operations designated as a “cleared facility,” when determined by the IC. In these instances, DSS must be notified that the Air Force will retain “security oversight” for the on-base contractor operations.

1.6.2. Air Force Activity (System, Program or Project Manager) will:

1.6.2.1. Initiate procurement requests and identify program unique security requirements in solicitations and contract documents.

1.6.2.1. (USAFE) The Air Force (AF) program, project or activity must ensure the contract, solicitation, statement of work (SOW), statement of objectives (SOO), and or performance work statement (PWS) solicitations, includes the requirement for contractors to possess and maintain the required clearance eligibility based on a current personnel security investigation commensurate with the classification of the contract, and submit periodic reinvestigations (PR) when required; specifically:

1.6.2.1.1. (Added-USAFE) For contracts, delivery orders, solicitations, etc., requiring access to United States (US) Secret information, the statement “Contractors must possess and maintain US Secret clearance eligibility, based on a Single Scope Background Investigation (SSBI), SSBI Periodic Reinvestigation (SBPR), National Agency Check with Local Agency Check and Credit Check (NACLC), or NACLC-PR (PRS) conducted within the past 10 years. Additionally, PRs must be submitted 9 years and 6 months from the date of the last SSBI, SBPR, NACLC, or PRS.”

1.6.2.1.2. (Added-USAFE) For contracts, delivery orders, solicitations, etc., requiring access to US Top Secret information and or eligibility for Sensitive Compartmented Information (SCI), Director of Central Intelligence Directive (DCID) 6/4, the statement “Contractors must possess and maintain SCI DCID 6/4 or Top Secret clearance eligibility, based on a SSBI or SBPR conducted within the past 5 years. Additionally, PRs must be submitted 4 years and 6 months from the date of the last SSBI or SBPR.”

1.6.2.2. Draft and incorporate program specific security classification guidance into the DD Form 254, **DOD Contract Security Classification Specification**.

1.6.2.3. Coordinate contractual security specifications with the contracting office and responsible security discipline, office of primary responsibility (OPR) or functional.

1.6.2.4. As a minimum, review the DD Form 254 biennially and revise or modify the security classification guidance, as appropriate.

1.6.2.5. Work in concert with the CO, ISPM, security program disciplines and/or functional OPRs to develop the VGSA.

1.6.3. Contracting Officers will:



1.6.3.1. Implement the NISPOM by incorporating specific security clauses into (classified/unclassified) contracts and solicitations as outlined in the Federal Acquisition Regulation (FAR) and supplementation thereto.

1.6.3.1. (USAFE) Air Force Federal Acquisition Regulation Supplement (AFFARS) clause 5352.242-9001, *Background Checks for Contractor Personnel Requiring Entry/Access to Installations/Locations* does not apply to overseas locations.

1.6.3.2. Negotiate all contractual agreements, modifications, changes and revisions with contractors.

1.6.3.3. Initiate and/or implement other actions as outlined in the FAR, DFARS, AFFARS, NISPOM and ISR relative to the administration of the industrial security program.

1.6.4. The Defense Security Service (DSS) will accomplish the following tasks per DOD 5220.22-M, NISPOM and DOD 5220.22-R, ISR:

1.6.4.1. Administer the National Industrial Security Program (NISP) in accordance with national and DOD policy.

1.6.4.2. Establish and maintain a network of automated systems which provide real-time personnel security clearance (PCL) and facility security clearance (FCL) data on DOD contractors and their employees.

1.6.4.3. Assume industrial security program oversight responsibility for on-base cleared facilities at the request of the IC.

1.6.5. Information Security Program Manager (ISPM) will:

1.6.5.1. Oversee and administer the industrial security program on behalf of the IC.

1.6.5.2. Integrate on-base contractor operations into the installation Information Security Program in accordance with AFD 31-6, para 7 and this instruction.

1.6.5.3. Review pre-award and/or draft solicitations, contract documents, security classification guides, and DD Form 254 to ensure appropriate security clauses and/or language is contained therein which address the protection of government information and sensitive resources.

1.6.5.4. Serve as technical OPR for the development and preparation of the VGSA or other security agreements as determined necessary by the IC.

1.6.5.5. Maintain a folder on each on-base contractor for which a VGSA has been executed.

1.6.5.6. Conduct security oversight of an on-base designated "cleared facility" as determined by the IC. A cleared facility operates under the security guidance of the NISPOM, installation security program guidance or a combination thereof.

1.6.5.7. Ensure the contractor takes prompt corrective actions when security program deficiencies are identified and promptly report security violations and/or compromises.

1.6.5.8. Forward to DSS a copy of the security review and survey reports and other applicable documentation, which pertains to an on-base "cleared facility" per DOD 5220.22-M, DOD 5220.22-R, AFD 31-6, and this instruction, if required.

1.6.5.9. Participate and/or provide input during the source selection process, incentive awards evaluation process, etc.

1.6.6. (Added-USAFE) Unit Security Managers. Primary and alternate security managers will be federal employees (military or appropriated fund civilians). Government contractors may perform duties to assist the unit security manager but will not be appointed as primary or alternate security managers for Air Force (AF) activities.

## **1.7. Public Release of Information.**

1.7.1. Contracting offices (COs) forward contractor's requests for public release of information relating to Air Force classified contracts or programs to the installation Public Affairs (PA) office. The PA office processes the request in accordance with AFI 35-101, *Public Affairs Policies and Procedures*, Chapter 15, Security and Policy Review and Chapter 18, News Media and Public Affairs.

1.7.1.1. Information requiring Air Force or DOD-level review will be forwarded by the entry-level public affairs office to the Secretary of the Air Force (SAF) Office of Public Affairs (SAF/PAS), 1690 Air Force Pentagon, Washington DC 20330-1690. SAF/PAS forwards the requests, as required, to the Directorate for Freedom of Information and Security Review (DFO-SIR), Washington Headquarters Service, Department of Defense, Pentagon, Washington DC 20301-1400.

1.7.2. When a contractor reports that classified information has appeared publicly, follow the guidelines in these documents: DOD 5200.1-R, *Information Security Program Regulation*; Air Force Policy Directive (AFPD) 31-4, *Information Security*; and Air Force Instruction (AFI) 31-401, *Information Security Program Management*.

## **1.8. Reporting Requirements.**

1.8.1. Reporting Adverse Information and Suspicious Contact Reporting.

1.8.1.1. On-base integrated visitor groups satisfy NISPOM adverse information and suspicious contacts reporting requirements by notifying or submitting the appropriate report or information to the ISPM through the AF activity they support. This reporting provision must be outlined in the visitor group security agreement (VGSA), when applicable. On-base designated "cleared facilities" make reports or submit information directly to the ISPM.

1.8.1.2. Upon receipt of information submitted per paragraph 1.8.1., the ISPM will forward the report to the visitor group's Home Office Facility (HOF). Any subsequent or additional reporting required by the NISPOM to other federal agencies, e.g., CSA, CSO, Federal Bureau of Investigations (FBI), is thereafter the responsibility of the HOF.

1.8.1.3. The ISPM will retain a copy of the adverse information or suspicious contact report in the visitor group's files for 2 years.

1.8.1.4. The ISPM is responsible for notifying other AF activities, e.g., contracting office, Air Force Office of Special Investigations (AFOSI), when appropriate.

1.8.2. Reporting Security Violations.

1.8.2.1. A designated on-base "cleared facility" reports the loss, compromise, suspected compromise or other security violations pursuant to the NISPOM through the ISPM, who in-turn is responsible for notifying the CSO.

1.8.2.2. On-base integrated visitor groups report such incidents and/or information in accordance with AFI 31-401 to the ISPM via the AF activity security manager. This reporting requirement must be specified in the VGSA, if applicable. The commander of the AF activity being supported appoints an assigned federal employee (military or civilian) to conduct the preliminary inquiry in accordance with AFI 31-401, Chapter 9.

1.8.2.3. The CSO and ISPM report significant contractor security violations and compromises (resulting in actual loss or compromise) of classified information to the contracting officer.

**1.8.3. Reporting Espionage, Sabotage, and Subversive Activities.**

1.8.3.1. The ISPM reports espionage, sabotage, subversive activities, deliberate compromises of classified information, and leaks of classified information to the media, involving cleared facilities or visitor groups located on Air Force installations to the servicing AFOSI. AFOSI coordinates with the FBI, as appropriate. The ISPM sends a report via secure communications (STU III or classified fax) with an information copy to each of the following activities:

1.8.3.1.1. Cognizant Security Office (CSO)

1.8.3.1.2. Functional Office of Primary Responsibility (OPR)

1.8.3.1.3. Headquarters United States Air Force, Information Security Division (HQ AF/XOFI)

1.8.3.1.4. Headquarters United States Air Force, Public Affairs (SAF/PA)

1.8.3.1.5. Appropriate Major Command (MAJCOM) Headquarters

1.8.3.2. Such a report should:

1.8.3.2.1. Identify the cleared facility or integrated visitor group involved.

1.8.3.2.2. Identify the contractor involved. Identify the person(s) involved, including the full name, date and place of birth, social security number, local address, present location, position with the contractor, security clearance (including past or present participation in any special access programs (SAPs), and a description of any plans or action and any recommendations to suspend or revoke the individual's personnel security clearance (PCL).

1.8.3.2.3. Establish the known circumstances of the incident, including the identity of the classified material involved; any subsequent activities or circumstances (including whether and which news media know about the incident); and culpable individuals, where known.

1.8.3.2.4. Document when (time and date) the ISPM reported the incident to the AFOSI or when the CSO reported the incident to the FBI, if known.

1.8.3.2.5. Include a copy of any investigative reports.

1.8.3.2.6. Identify any changes in contractor procedures necessitated by the incident and any recommendations for change in the security program, which might prevent similar future violations.

1.8.4. The reporting requirement outlined in paragraph 1.8.3. is exempt from licensing with a report control symbol (RCS) IAW paragraph 2.11.1. of AFI 33-324, *The Information Collections and Reports Management Program; Controlling Internal, Public and Interagency Air Force Information Collections*.

1.8.5. Reporting Loss, Compromise, and Possible Compromise.

1.8.5.1. ICs follow this instruction and perform actions as directed by DOD 5220.22-R, *Industrial Security Regulation*, to report the loss, compromise, or possible compromise of classified information for on-base contractor operations for which the Air Force has retained security oversight.

1.8.5.2. Contracting officers who learn of contractor loss, compromise, or possible compromise of classified information immediately notify the servicing ISPM and the Air Force functional office that has responsibility for the compromised information.

1.8.5.3. The original classification authority (OCA) or designated organization is responsible for determining whether a damage assessment is warranted and making any subsequent

1.8.5.4. The OCA or designated organization notifies the Air Force activity, CSO, and/or the contractor of decisions to declassify, downgrade, or retain classification of the affected information. Do not give copies of damage assessment reports to the CSO or contractor operation.

1.8.5.5. Unless assistance is needed, do not notify the CSO of action begin taken to mitigate damage to national security.

1.8.5.6. Correspondence associated with or related to any such incidents should be handled between the CSO and/or ISPM and the affected Air Force activity direct.

1.8.5.7. The ISPM provides copies of investigation and inquiry reports to the appropriate CSO and HOF that has jurisdiction over the contractor operation.

1.8.5.7. (USAFE) Send information copies of reports involving the loss or compromise (known or probable) of classified information to HQ USAFE/SFXI.

## Chapter 2

### SECURITY CLEARANCES

#### 2.1. Facility Security Clearances (FCLs).

2.1.1. Sponsoring FCLs. The contracting office (CO) is responsible for Facility Security Clearance (FCL) sponsorship. Defense Security Service - Operating Center Columbus (DSS-OCC) is the authorizing agent for the FCL. DSS-OCC establishes and maintains all FCLs within the NISP. Also see DOD 5220.22-M, DOD 5200.2-R, *Personnel Security Program*, AFD 31-5, *Personnel Security*, and AFI 31-501, *Personnel Security Program Management*.

2.1.1.1. To request an FCL sponsorship, write to the CSO with oversight responsibility for the sponsored facility.

2.1.1.2. Give the full name for the sponsored facility, its physical and mailing address, telephone number, and a specific point of contact at the facility, when known. Give the full name, job title, and direct-dial telephone number of the Air Force sponsor.

2.1.1.3. Establishing final FCLs through DSS-OCC may take several months. When circumstances do not permit such delays, sponsors may request an interim FCL through OCC.

2.1.2. Sponsoring Interim FCLs. DSS-OCC automatically processes all requests for Confidential and Secret FCLs for interim clearances when possible. However, Air Force sponsorship of interim Top Secret FCLs must be justified on a case-specific basis in accordance with DOD 5220.22-R. To request a Top Secret interim FCL, the CO prepares and routes sponsorships through command channels to the MAJCOM, FOA, or DRU commander for approval. Each request must include these items:

2.1.2.1. An explanation of why an interim Top Secret FCL would prevent a crucial delay in the award or performance of a classified contract.

2.1.2.2. A listing giving the legal name of the facility being sponsored, its complete street address, and the names and positions of people who are applying for interim Top Secret access authorization.

2.1.2.3. The address of the authorizing DSS.

2.1.3. Establishing FCLs. DSS-OCC establishes and maintains FCL for contractor operations participating in the NISP.

2.1.3.1. The ISPM with oversight responsibility for a cleared facility conducts required security reviews of the operation and assists the CSO, as necessary.

2.1.3.2. The ISPM also conducts surveys and/or administrative inquiries pertaining to an on-base cleared facility as requested by the CSO and ensures contractor compliance with DOD 5220.22-M, NISPOM.

2.1.3.3. Complete the survey by using the DD Form 374, **Facility Security Clearance Survey Data Sheet**, [DOD 5220.22-R], or an equivalent/acceptable automated format when conducting survey for an on-base cleared facility and forward a copy to the CSO.

#### 2.2. Contractors with Foreign Ownership, Control or Influence (FOCI).

2.2.1. The CSO tells COs if a contractor performing on a classified contract has foreign ownership, control, or influence (FOCI) or whether it can be negated. Such influence might jeopardize the security of classified information held by the contractor.

2.2.2. To resolve a FOCI problem, the CSO may establish a facility clearance that limits the level and type of classified information to which a FOCI contractor has access. Such restrictions might affect ongoing, pending and future classified contracts with the contractor. The CO should discuss this impact with the ISPM and servicing Foreign Disclosure office.

2.2.3. The CO considers sponsoring a National Interest Determination (NID) after receiving written justification from the requesting program office or activity. This justification must address and explain how the FOCI contractor's product or service is crucial or is the sole available source to the AF. If applicable, the program or activity must also provide a written explanation when contract cancellation would cause unacceptable delays for mission-essential weapons systems in the field or for support organizations.

2.2.3.1. The requesting program office or activity is responsible for obtaining written release approval authority from the functional owner of the "proscribed information," prior to submitting the NID to the contracting office. The program office or activity contacts the OCA for Top Secret (TS), NSA for Communications Security (COMSEC), DCI for Sensitive Compartmented Information (SCI), and DOE for Restricted Data (RD) or Formerly Restricted Data (FRD) to obtain release approval. (**NOTE:** All release determination request (NID) involving/for SCI must be submitted to HQ USAF/XOIIS for review, coordination and processing).

2.2.3.2. The CO reviews, validates, and processes the NID and associated written approvals as follows:

2.2.3.2.1. Forward request for NID related to special access program (SAP) performance through the appropriate SAP and command channels to the Deputy for Security and Investigative Programs, Office of the Administrative Assistant (SAF/AAZ), 1720 Air Force Pentagon, Washington, D.C. 20330-1720 for approval.

2.2.3.2.2. Forward request for non-SAP NID through command ISPM channels to HQ USAF/XOFI for review and coordination. The NIDs are then be forwarded to SAF/AAZ for review and endorsement.

2.2.3.3. SAF/AA endorse the NID and forward it to the Director, Defense Security Programs, Office of the Deputy Assistant Secretary of Defense for Counterintelligence and Security Countermeasures, Office of the Assistant Secretary of Defense for Command, Control, Communication, and Intelligence (OASD/C3I), Pentagon, Washington, D.C. 20301-3040, for final approval.

## **2.3. Contractor Personnel Security Clearances (PCLs).**

2.3.1. Defense Security Service - Operating Center Columbus (DSS-OCC), formerly known as Defense Investigative Service (DIS) - Central Verification Activity (CVA), Personnel Investigative Center, an operational element of DSS, grants and maintains contractor PCLs. DSS-OCC also terminates contractor PCLs when the contractor no longer needs them or when a contractor employee terminates. Administrative termination of a PCL carries no adverse implications regarding the employee or the contractor.

2.3.2. The Directorate for Industrial Security Clearance Review, DOD Office of General Counsel, may suspend or revoke contractor PCLs following due process.

2.3.3. DSS automatically processes all requests for Confidential or Secret PCLs for interim clearances, where possible.

2.3.4. When a contractor employee who is not cleared for access to Top Secret information needs such access to perform on an Air Force classified contract, the employing contractor may sponsor the individual for an interim Top Secret PCL.

2.3.4.1. The contractor should send requests to the CO who seeks concurrence of the system program office (SPO), system manager (SM), or program manager (PM).

2.3.4.2. The contractor's request should document clearly why the individual needs an interim PCL, why contract requirements may not be satisfied with another individual more suitably cleared, and what the potential adverse impact would be on contract performance if an interim PCL were not granted. The contracting officer will deny contractor requests that do not meet these criteria.

2.3.4.3. The CO routes the appropriate contractor's request for interim Top Secret PCLs to the MAJCOM, FOA, or DRU commander for approval.

2.3.4.4. The CO sends favorably endorsed requests to the contractor, who then includes the endorsement in the personnel security questionnaire package for transmission to DSS-OCC for action. The CO promptly returns denied requests.

## **2.4. Processing Trustworthiness Determinations.**

2.4.1. When contractors require *unescorted entry to restricted areas, access to sensitive unclassified information, access to government automated information systems (AIS) and/ or sensitive equipment*, not involving access to classified information, the contractor's personnel security questionnaire is processed by the sponsoring Air Force activity per DOD 5200.2-R and AFI 31-501.

**2.5. Reciprocity.** The CO, ISPM, and other installation security disciplines offices of primary responsibility (OPRs) work together to resolve issues pertaining to reciprocity, as applicable to inspections, surveys, audits, security clearances, security reviews, etc. Elevate reciprocity issues to the next higher level of command when they can not be resolved locally.

## Chapter 3

### SECURITY TRAINING AND BRIEFINGS

#### 3.1. Security Training Requirements.

3.1.1. Air Force classified solicitations and/or contracts [Statement of Objectives (SOO), Statement of Work (SOW), Request for Bid (RFB), Request for Quote (RFQ), VGSA, etc.] may stipulate contractor compliance with and participation in pertinent Air Force, command and installation security training programs when performance or services will occur on an Air Force installation.

3.1.1. (USAFE) Within USAFE, contractor compliance with and participation in pertinent AF, MAJ-COM, and installation security training programs is mandatory.

3.1.2. When specified in an executed VGSA, AFI 31-401, *Information Security Program Management*, security training requirements satisfy the NISPOM training provision for on-base integrated visitor groups. Other Air Force functionals and/or security discipline OPRs may use this training provision for operational efficiency, however the specific requirements must be identified in the VGSA.

3.1.3. When an on-base contractor operation is designated as a cleared facility, the ISPM will provide the initial facility security officer (FSO) briefing in accordance with the NISPOM and CSO guidance.

3.1.4. Air Force unit security managers or security officers will provide information security program training (initial, refresher and annual) and other security awareness support to integrated visitor groups. The AF activity, working in concert with the ISPM, will incorporate language into the VGSA, which requires visitor group personnel to attend and/or receive information security training per DOD 5200.1-R and AFI 31-401, Chapter 8. Unit security managers will ensure integrated visitor group personnel are included in their security education program.

3.1.4. (USAFE) Document training in accordance with AFI 31-401, *Information Security Program Management*, USAFE Supplement 1, Chapter 8.

#### 3.2. Security Briefing/Debriefing Requirements.

3.2.1. Management officials of the on-base cleared facility visitor groups are responsible for ensuring their employees receive all required security briefings and debriefings as mandated by the NISPOM.

3.2.2. For integrated visitor groups, DOD 5200.1-R and AFI 31-401 security training requirements are equivalent to and satisfy the training requirements of NISPOM, where appropriate. On-base contractor management officials are responsible for ensuring their personnel's attendance and satisfying NISPOM documentation requirements.

3.2.3. The ISPM will invite on-base cleared facility, Facility Security Officers (FSOs) and/or security representatives, to the installation's information security manager meetings.



## Chapter 4

### SECURITY SPECIFICATIONS AND GUIDANCE

#### 4.1. Issuing Security Classification Guidance.

4.1.1. The AF program, project, activity and contracting office (CO) implements NISPOM, DOD 5200.1-R, and installation security requirements through contract documents. Only COs can sign, modify or negotiate changes to contracts.

4.1.2. When a contractor requires access to classified information, the AF program, project or activity prepares the required DD Forms 254, **DOD Contract Security Classification Specifications**. The contractor should use the security requirements in this form to accurately estimate the cost of security measures. More detailed security requirements are specified in the statement of work (SOW), statement of objectives (SOO), performance work statement (PWS), Visitor Group Security Agreement (VGSA), etc.

4.1.2. (USAFE) The AF program, project or activity must ensure the security requirements outlined below are included when preparing the DD Form 254.

4.1.2.1. (Added-USAFE) For US contractors requiring access to the USAFE Secret Internet Protocol Router Network (SIPRNet):

4.1.2.1.1. (Added-USAFE) Section 10, Block g on the DD Form 254 must be marked “yes” and the statement “Be Authorized SIPRNet Access” entered in Section 11, Block l; and:

4.1.2.1.2. (Added-USAFE) The statement “Ref: 10g, The contractor is permitted access to North Atlantic Treaty Organization (NATO) information in performance of this contract. Access to NATO information requires interim or final United States clearance eligibility at the appropriate level and a NATO briefing. The commander of the AF program, project or activity responsible for the contract, delivery order, etc., will ensure the contractor is in-briefed and when appropriate, debriefed. Prior approval of the contracting activity is required for subcontracting” must be entered into Section 13 on the DD Form 254.

4.1.2.2. (Added-USAFE) Section 14 must include the statement “Provide the information requested by the Notification of Government Security Activity and Visitor Group Security Agreements Clause, AFFARS 5352.204-9000, to (the unit and office symbol of the ISPM identified in Section 13). Refer to the contract document for this clause.” This statement supersedes the requirement outlined in USAFE Pamphlet 31-601, *Industrial Security Users Guide*, paragraph A2.12.1.

4.1.3. The responsible AF program, project, or activity will identify (by title, functional OPR, and approval date), the specific security classification guidance or guides (SCGs) applicable to the contract in Block #13 of the DD Form 254. The AF activity/program will provide copies of the SCG to the contractor prior to the contract commencing.

#### 4.2. DD Form 254, Contract Security Classification Specifications.

4.2.1. The AF program, project or activity prepares an *initial* draft DD Form 254 for each classified contract. When drafting the *initial* DD Form 254s, the program, project or activity will consult with the CO, ISPM, and other installation security discipline or functional OPRs affected under the terms

of the solicitation/contract to ensure accuracy. Once drafted, the *initial* draft of the DD Form 254 is forwarded to the CO for processing.

4.2.1. (USAFE) The AF program, project or activity will attach a copy of the contract, solicitation, SOW, SOO, and or PWS when coordinating the initial draft DD Form 254 with the ISPM.

4.2.2. The CO reviews and coordinates the *initial* draft DD Form 254 with all affected security disciplines and functionals, as appropriate. This action ensures that approved security guidance is being provided to the contractor. Once the *initial* review has been completed, the requesting AF entity/activity incorporates the necessary changes and forwards the *final* DD Form 254 to the CO for subsequent approval and signing.

4.2.3. Prior to signing the *final* DD Form 254, the CO will coordinate the form with the affected security disciplines and/or functional OPRs. This review and coordination must be indicated in Block 13 (office symbol, date and initials of reviewer) of the *final* DD form 254. When SAPs are involved, coordinate draft DD Form 254 with the office responsible for SAP security oversight. Keep DD Forms 254 for SAPs unclassified when possible.

4.2.3. (USAFE) The appropriate ISPM (paragraph 4.3.1.) and, if the contract, solicitation, delivery order, etc., involves SCI, the USAFE Special Security Office (SSO) (HQ USAFE/A2S) must coordinate on all initial draft and final DD Form 254s. All DD Form 254s developed by an installation ISPM that require SSO coordination will be forward to HQ USAFE/A2S through HQ USAFE/SFXI. The ISPM and SSO (if applicable) review and coordination must be indicated in Block 13 (office symbol, date and initials of reviewer) on the final DD Form 254. All final DD Form 254s that do not include and SSO (when applicable) coordination in Block 13 must not be accepted by the contracting officer (CO).

#### 4.3. Reviewing and Certifying the DD Form 254.

4.3.1. The ISPM reviews the *initial* draft and *final* DD Form 254 to ensure that the security classification guidance is accurate, approved, and appropriate. Other security requirements are incorporated into the SOW, SOO, PWS, VGSA, etc.

4.3.1. (USAFE) Initial draft and final DD Form 254s for contracts, delivery orders, solicitations, etc., issued by the 700 Contracting Squadron (700 CONS) and or any USAFE CO that specifies performance at multiple MOBs, GSUs, or a combination of multiple MOBs and GSUs, and or performance with a USAFE staff directorate, organization or unit must be reviewed by HQ USAFE/SFXI. Initial draft and final DD Form 254s that specify a performance at a single MOB and or their supported GSUs will be reviewed by the appropriate USAFE installation ISPM.

4.3.2. The AF program, project, or activity reviews the DD Form 254 and applicable security classification guides (SCGs) every two years to ensure accuracy and currency. When changes are necessary, the contract will be modified, if appropriate and revised guidance issued.

4.3.3. The CO certifies (signs) the DD Form 254, Block 16e. At the CO discretion, this authority may be delegated (in writing) as authorized by the Federal Acquisition Regulations (FAR) or supplementation thereto.

#### 4.4. Distribution of the DD Form 254.

4.4.1. When DSS is relieved of security oversight responsibility for cleared facilities performing on SCI or SAP programs, furnish Headquarters DSS, 1340 Braddock Place, Alexandria VA 22314-1651, a copy of the DD Form 254.

4.4.2. When a contractor's performance will be on Air Force installation, the AF program, project or activity must identify/specify all contract performance locations, if known, on the DD Form 254. When the contract is performed elsewhere, the CO will provide a copy of the signed DD Form 254 to that location's ISPM.

4.4.2. (USAFE) The appropriate CO must provide a signed copy of the DD Form 254 to the ISPM at each performance location specified on the DD Form 254 **before** contractor performance begins.

4.4.3. Procuring Contracting Officers (PCOs), their designated representatives, including Administrative Contracting Officers (ACOs), distribute DD Form 254.

4.4.3. (USAFE) The CO will, as a minimum, distribute the DD Form 254 to the AF program, project or activity responsible for the contract, delivery order, etc., HQ USAFE/SFXI, the appropriate ISPM, and, if the contract, delivery order, etc., involves SCI (item 10e(1) is checked "yes") the SSO (HQ USAFE/A2S).

#### 4.5. Visitor Group Security Agreement (VGSA).

4.5.1. Execute a VGSA with all contractor operations located on Air Force installations that will require access to classified information. At the IC's discretion, the VGSA execution requirement may be extended to contractors performing on contracts that require access to sensitive unclassified information, sensitive resources or frequent "entry" to the installation.

4.5.2. The installation ISPM, security disciplines and functional OPRs work in concert with the AF program, project and/or activity to develop the Visitor Group Security Agreement (VGSA) requirements. The requirement to execute a VGSA is in addition to preparing the DD Form 254.

4.5.3. The VGSA must address those security requirements and/or procedures that are unique to the *installation* for which the contractor will be held contractually liable. VGSA's need only address those areas of security, safeguarding and/or protection that have not been covered elsewhere within the contract, DD Form 254, SOW, SOO, PWS, etc.

4.5.4. The ISPM is the technical OPR for development and preparation of the VGSA. For coordination purposes, the ISPM routes the VGSA to all *installation* security discipline OPRs and/or other agencies lending expertise to the contractual security requirements.

4.5.4. (USAFE) HQUSAFE/SFXI will develop, coordinate, and issue the Visitor Group Security Agreements (VGSA) for all contracts, delivery orders, solicitations, etc., issued by 700 CONS and or any USAFE CO that involves performance at multiple MOBs, GSUs, or a combination of multiple MOBs and GSUs, performance with a USAFE staff directorate, organization or unit, or when HQ USAFE/SFXI is the responsible ISPM for the review, coordination, signature, etc., in Block 13 of the DD Form 254. USAFE installation ISPMs will develop and issue the VGSA for all contracts, delivery orders, solicitations, etc., that involve performance on their MOB, supported GSUs, or when they are responsible for the review, coordination, signature, etc., in Block 13 of the DD Form 254.

4.5.5. The ISPM signs the VGSA on behalf of the installation commander. The ISPM forwards a copy of the executed/signed VGSA to the contracting officer who awarded the contract or to the contracting officer's designated representative, when appropriate.

4.5.6. An authorized company official shall sign the VGSA. The CO will file a copy of the authorization with the contract.

4.5.6. (USAFE) In accordance with AFFARS clause 5352.204-9000, Notification of Government Security Activity and Visitor Group Security Agreements, the VGSA must be signed by the ISPM and an authorized company official before contractor operations involving classified information begin at any performance location specified on the DD Form 254.

4.5.7. (Added-USAFE) Refer contractor questions on implementing procedures to the appropriate contracting officer.

## Chapter 5

### SAFEGUARDING

**5.1. Designation of On-Base Visitor Groups.** The IC works in concert with the Air Force activity, CO and ISPM to determine the designation of an on-base visitor group (cleared facility, integrated visitor group or intermittent visitor).

#### **5.2. Integrated Visitor Group.**

5.2.1. Integrated visitor groups operate in accordance with DOD 5200.1-R and supplemental guidance thereto. They handle, generate, process, and store classified information per AF guidance. The exception being, their “access” is limited to “need-to-know” contract-specific classified performance information.

5.2.2. The AF must stipulate the specific DOD 5200.1-R and supplemental guidance, which is applicable under the terms of the executed VGSA.

5.2.3. The guidance conveyed to on-base contractor operation via the VGSA is limited to the AF installation and the AF solicitation/contract which it was executed to support. All other NISPOM mandated security requirements not addressed or specifically exempted by the executed VGSA or other contracting document must be implemented by the contractor.

5.2.4. The VGSA must clearly reflect that the Air Force is accountable for and controls all classified information. Integrated contractor visitor groups are prohibited from establishing separate classified information controls. (NOTE: Integrated visitor group personnel *can not* be appointed as primary or alternate security managers for AF activities. However, they can be required (via the VGSA) to provide other security program support, under AF direction, such as, conducting end-of-day security checks, security training/briefings, etc.).

5.2.4. (USAFE) The Visitor Group Security Agreement (VGSA) should include, but not necessarily be limited to: integration of contractor operations into the installation's information security program, approval authority for Automated Information Systems (AIS) or Information Technology (IT) processing, security briefings, hand-carrying classified information, security violations, contractor access to specific need-to-know classified information, security reviews, and security awareness and training.

**5.3. Cleared Facility.** A cleared facility operates under the mandates of the NISPOM and/or installation security program requirements or a combination thereof. See AFPD 31-6 for further guidance regarding their establishment.

**5.4. Intermittent Visitors.** Intermittent visitors may operate under the security requirements of the NISPOM or the installation security program. The IC makes this determination after considering the intermittent visitor's relationship and interface with the AF activity and/or installation.

**5.5. On-Base Contract Completion or Termination.** The program, project or AF activity will notify the ISPM in writing when the contractual services and/or performance has been completed or terminated.

## Chapter 6

### OVERSIGHT REVIEWS AND REPORTING REQUIREMENTS

#### 6.1. Conducting Industrial Security Reviews (SRs).

6.1.1. Industrial Security Reviews. The ISPM conduct security reviews (SRs) of on-base cleared facilities that performs classified work on Air Force installations. Such SRs evaluate the contractor's compliance with contract specific-security requirements and pertinent DOD and Air Force security instructions.

6.1.2. Scheduling Industrial Security Reviews. Conduct (SRs) of on-base cleared facilities per DOD 5220.22-M and DOD 5220.22-R. Unless conducting an unannounced security review on a cleared facility, provide contractor activity's management 30 days advanced written notification.

6.1.3. Performing Industrial Security Reviews. ISPMs coordinate with other Air Force security discipline OPRs such as; Operations Security (OPSEC), Computer Security (COMPUSEC) and Communications Security (COMSEC), to provide specialized expertise when necessary to complete a security review. The SR is complete when all security requirements imposed under the terms of the contract have been evaluated.

6.1.3.1. When SRs are conducted for cleared facilities, provide copies of completed SR report, with all related correspondence, to the CSO. Use DSS' automated format to document the results of the SR. Contact HQ USAF/XOFI to obtain a copy of the automated DSS format.

6.1.3.2. Facility security clearance (FCL) files must contain all key documentation prescribed by DOD 5220.22-R and the CSO, to include DD Form 254 and related contract security requirement documents.

#### 6.1.4. Post-Industrial Security Review Requirements.

6.1.4.1. Send a letter/report to senior management officials of the cleared facility within 10 days of completing the security review. The letter should:

6.1.4.2. Confirm the contractor's security status as discussed during the exit interview.

6.1.4.3. List any deficiencies requiring corrective action.

6.1.4.4. Within 30 days, request written confirmation on the status of any open major discrepancy (condition which resulted in or could reasonably be expected to result in the loss or compromise of classified information).

6.1.4.5. The ISPM may extend the time for corrective action if required changes are significant and the contractor is making a conscientious effort to resolve problems expeditiously.

#### 6.1.5. Unsatisfactory Industrial Security Reviews.

6.1.5.1. The ISPM assigns an on-base cleared facility an unsatisfactory SR rating:

6.1.5.1.1. To a cleared facility visitor groups if it fails to satisfactorily perform its contractual security responsibilities.

6.1.5.1.2. When major failures in the contractor's security program have resulted in or could reasonably be expected to result in the loss or compromise of classified information.

6.1.5.1.3. When the contractor is clearly responsible for the security problems cited during a security review.

6.1.5.1.4. The ISPM coordinates with the CSO and contracting officer when assigning an unsatisfactory SR rating for an on-base cleared facility.

6.1.5.1.5. The home office facility (HOF) for the cleared facility is ultimately responsible for meeting contract security requirements. When assigning an unsatisfactory SR rating, the ISPM notifies the HOF immediately through the contracting office and requests prompt and complete corrective action. If the HOF fails to take corrective action, its security clearance may be affected. The servicing security activity should notify the HOF's CSO if problems continue.

#### 6.1.6. Invalidating the Facility Security Clearance (FCL).

6.1.6.1. The CSO notifies the contracting officers in writing when the FCL of a contractor under their jurisdiction is invalidated.

6.1.6.2. A contractor who fails to correct security deficiencies that subsequently results in invalidation may lose its FCL.

6.1.6.3. Although most contractors resolve invalidations promptly, contractors with foreign owned, controlled, or influence (FOCI) invalidations may have to wait for many months. Where FOCI is evident, the facility clearance may remain invalidated for more than a year while methods to resolve the FOCI are considered, approved, and implemented. The FCL is invalidated while DSS negotiates voting trusts, proxy agreements, or special agreements with foreign interests.

6.1.6.4. Document SR for an on-base cleared facility as required by the DOD 5220.22-M, DOD 5220.22-R, and CSO guidance. Keep copies of completed SR reports with pre-security review letter and completed post-review correspondence for 2 years from the date of the most recent SR.

6.1.6.5. Maintain copies of self-inspection reports or reviews for 2 years from date of the most recent self-inspection.

## 6.2. Conducting Information Security Program Reviews.

6.2.1. Information Security Program Reviews. On-base integrated visitor groups will be evaluated and conduct self-inspections collectively with the AF activity per DOD 5200.1-R and AFI 31-401, guidance. Integrated visitor groups will not be subjected to the SR requirements of the NISPOM. The installation prescribes the report for documenting program reviews.

6.2.2. Scheduling Information Security Program Reviews. Schedule program reviews per DOD 5200.1-R and AFI 31-401 guidance.

6.2.3. The AF activity is responsible for ensuring its integrated visitor group implement and comply with DOD 5200.1-R and AFI 31-401 requirements.

6.2.4. The ISPM, unit security manager and integrated visitor group establishes files and maintain the following documentation, as appropriate:

6.2.4.1. Signed copy of the DD Form 254 and any revisions.

6.2.4.2. Signed copy of the VGSA. (**NOTE:** Maintaining a copy of the VGSA is *optional* for the ISPM).

6.2.4.2. (USAFE) ISPMs will maintain a copy of the VGSA.

6.2.4.3. Current listing of the key on-base management officials or representatives.

6.2.4.4. Copy of the last annual program review.

6.2.4.5. Copies of last two self-inspections reports. The annual program review can be used to substitute for one of the self-inspections. (**NOTE:** The maintenance of self-inspection reports is optional for ISPMs).

6.2.4.6. Copy of contractor's visit authorization letter (VAL). (**NOTE:** In addition, the unit security manager will maintain a copy of each outgoing/incoming VAL generated by or directed to the integrated visitor group).

6.2.4.6. (USAFE) Maintaining a copy of the visit authorization letter (VAL) is **optional** for the ISPM.

6.2.5. For visitor groups, the ISPM briefs key Air Force and designated visitor group managers on the status of the unit's security program. Provide both parties a copy of the PR report and any other related assessment, survey or staff assistance visit (SAV) report. Do not furnish copies of these reports to the CSO.

6.2.5.1. When warranted, AF commanders notify the contractor's home office facility (HOF), in writing, through the contracting office of major security program deficiencies or non-compliance with the terms of the VGSA.

6.2.6. The ISPM will maintain files/records on each on-base integrated visitor group in accordance with paragraph 6.2.4., this publication.



## Chapter 7

### VISITS AND MEETINGS

**7.1. Installation Visitors.** The installation commander is the sole authority responsible for granting contractors access to the installation, regardless of which DOD agency, military service component, or activity awarded the contract.

**7.2. Visitor Groups.** The IC designates contractors who require access to the installation in the performance of a government contract as intermittent visitors, integrated visitor groups, or cleared facilities.

**7.3. Contractor Visits to Air Force Installations.**

7.3.1. DOD contractors located on or visiting Air Force installations in support of a classified contract must comply with DOD 5220.22-M, Chapter 6, Section 1, visit requirements.

7.3.2. Installation commanders establish procedures for processing and coordinating incoming contractor visit requests. For integrated visitor groups, the AF activity is the authorizing/approval authority for incoming and outgoing visit authorization letters (VALs) or similar request. The AF activity is responsible for publishing on-base VAL processing procedures.

7.3.3. Identify specific procedures for receiving, processing, and handling in-coming visitor group visit request in the VGSA and AF activity's information security program operating instruction (OI). Whenever possible, these incoming request should be directed to and maintained on file by the AF activity's unit security manager.

7.3.3. (USAFE) The sponsoring AF activity will provide a copy of all visit requests to the Servicing Security Activity (SSA).

**7.4. Air Force Visits to Contractor Facilities.** Air Force personnel who require access to classified information while visiting contractor facilities must comply with the provisions of DOD 5200.1-R and DOD 5220.22-M.

## Chapter 8

### SUBCONTRACTING

#### 8.1. Prime Contractor's Responsibilities.

8.1.1. Prime contractors are responsible for ensuring their on-base subcontractors are knowledgeable of and comply with the applicable security requirements (NISPOM, installation, etc.) as identified in contracts and/or other contracting documents.

8.1.2. Prime contractors supporting classified efforts must include a provision in each on-base subcontract that requires subcontractors to contact the installation commander or designee and execute a VGSA prior to beginning on-base operations.

**8.2. Subcontractor Responsibilities.** On-base subcontractors must execute a separate and/or independent VGSA with the installation. (NOTE: As an alternative, when multiple subcontractor perform services in support of the same on-base classified contract and prime contractor, the execution of this VGSA, can be satisfied by the subcontractor acknowledging review and understanding of the security requirements identified in the prime contractor's executed VGSA. This being the case, executing and adding a signatory page *only* (attachment) to the prime's VGSA is acceptable).

## Chapter 9

### AUTOMATED INFORMATION SYSTEM (AIS) SECURITY

#### 9.1. Automated Information Systems (AIS) Accreditation.

9.1.1. When industrial security program oversight is retained by the Air Force for on-base cleared facilities, the CO coordinates automated information system (AIS) accreditation, Communications Security (COMSEC), and Emission Security (EMSEC) requirements with the responsible installation security discipline OPR, the ISPM and DSS, if appropriate.

9.1.2. Integrated visitor groups use approved Air Force AISs and/or networks to process classified and sensitive unclassified information.

9.1.3. Contractor employees who require access to government AISs under the terms of a government contract must be determined to be “trustworthy” by a designated government official prior to AIS access being granted. Process all contractors AIS access personnel security investigation (PSI) in accordance with DOD 5200.2-R and AFI 31-501. This requirement must be specified in the basic solicitation and/or contract documents.

9.1.4. Contracts or solicitations (classified and unclassified) involving the use, operation, maintenance, etc., of AIS will be routed through the installation Communications and Information (SC) activity for review and coordination.

## Chapter 10

### SPECIAL REQUIREMENTS

**10.1. Special Access Program.** For a carve-out contract, the Special Access Program (SAP) program manager assigns an Air Force element to perform security reviews and oversight. (Also see DOD 5220.22M-Sup 1, National Industrial Security Program Operating Manual (NISPOM) Supplement, and AFI 16-701, Special Access Programs.)

**10.2. Sensitive Compartmented Information.** Program managers for Air Force SAP and SCI programs may relieve the designated CSO and servicing security activity from security review and oversight responsibility for cleared facilities and/or visitor groups. Such relief normally will be limited to specific SAP and SCI information.

**10.2. (USAFE)** The USAFE SSO (HQ USAFE/A2S) is responsible for SCI program management within USAFE and will provide security reviews and oversight responsibility for visitor groups. Reviews and oversight are limited only to specific SCI information.

## Chapter 11

### INTERNATIONAL SECURITY REQUIREMENTS

**11.1. Procedures for Contractor Operations Overseas.** DOD policy does not allow an FCL to be issued for contractors located outside the US, Puerto Rico, or a United States possession or trust territory. Treat DOD contractor operations supporting the Air Force overseas as visitor groups.

**11.2. Disclosure of Information to Foreign Visitors/Interests.** Visits by foreigners to contractors performing on Air Force contracts (whether on or off base) that requires access to classified or controlled unclassified information will be processed through the Foreign Visits System IAW AFI 16-201. Approved visits will include disclosure authorization through the installation or servicing Air Force foreign disclosure office. Visits may be non-sponsored by the Air Force in which case the visit may take place but disclosure will be limited to information in the public domain or information covered by a valid export license issued by the Department of State IAW the Arms Export Control Act. Any disclosure of classified information must be on a government-to-government basis.

**11.3. Documentary Disclosure of Information to a Foreign Entity.** Contractors performing on Air Force contracts will submit request for documentary disclosure of classified or controlled unclassified information to the contracting officer. The contracting officer validates the need for disclosure and forwards the information to the installation or servicing foreign disclosure office which process the request IAW AFI 16-201

**11.4. Foreign Visits .** All visit requests submitted by or on behalf of a foreign visitor must be processed through the installation and/or MAJCOM foreign disclosure activity, at least 30 days in advance of the intended arrival date.

## Chapter 12

### OTHER APPLICABLE SECURITY GUIDANCE

**12.1. Security Plans, Procedures, Operating Instructions and Training Material.** Integrated visitor groups use existing Air Force security program related plans (Operations Security, Program Protection, Automated Information Systems, etc.), procedures, operating instructions (OIs), and educational/training materials that meet the intent of and satisfy NISPOM requirements. Coordinate with other security discipline OPRs, when applicable, and incorporate authority for their usage in the VGSA or other appropriate contracting documents.

**12.2. Applicability of Other Security Program Requirements.**

12.2.1. Coordinate security requirements, not stipulated in the NISPOM, with the responsible security discipline OPR and DSS, if applicable.

12.2.2. Functional specialists representing related security programs may accompany the ISPM or CSO representative during security reviews or when requested.

**| 12.3. (Added-USAFE) Forms Adopted: AF Form 847, Recommendation for Change of Publication**

JAMES M. SHAMESS, Brig General, USAF  
Director of Security Forces

**Attachment 1****GLOSSARY OF REFERENCES AND SUPPORTING INFORMATION*****References***

Executive Order 12829, *National Industrial Security Program*, 7 Jan 93  
Executive Order 12958, *Classified National Security Information*, 20 Apr 95  
DOD 5200.1-R, *Information Security Program*  
DOD 5220.22-M, *National Industrial Security Program Operating Manual (NISPOM)*  
DOD 5220.22-M-Sup 1, *National Industrial Security Operating Manual Supplement (NISPOMSUP)*  
DOD 5220.22-R, *Industrial Security Regulation*  
AFPD 10-11, *Operations Security*  
AFPD 31-4, *Information Security*  
AFPD 31-5, *Personnel Security Program Policy*  
AFPD 31-6, *Industrial Security*  
AFI 31-401, *Information Security Program Management*  
AFI 31-501, *Personnel Security Program Management*  
AFI 31-601, *Industrial Security Program Management*  
AFI 33-203, *Emission Security*  
AFI 35-101 *Air Force Public Affairs Policies and Procedures*  
AFI 33-324, *The Information Collections and Reports Management Program; Controlling Internal, Public and Interagency Air Force Information Collections*  
AFH 31-602, *Industrial Security Program*

***Abbreviations and Acronyms***

**ACO**—Administrative Contracting Officer  
**AFH**—Air Force Handbook  
**AFI**—Air Force Instruction  
**AFOSI**—Air Force Office of Special Investigations  
**AFPD**—Air Force Policy Directive  
**AIS**—Automated Information System  
**CO**—Contracting Office  
**COMSEC**—Communications Security (COMSEC)  
**CSO**—Cognizant Security Office

**DSS-OCC**—Defense Security Service - Operating Center Columbus

**DOD**—Department of Defense

**DRU**—Direct Reporting Unit

**DSS**—Defense Security Service

**EMSEC**—Emanation Security

**FAR**—Federal Acquisition Regulation

**FBI**—Federal Bureau of Investigations

**FCL**—Facility Security Clearance

**FOA**—Field Operating Agency

**FOCI**—Foreign Ownership, Controlled, or Influenced

**HOF**—Home Office Facility

**IC**—Installation Commander

**MAJCOM**—Major Command

**NID**—National Interest Determination

**OPR**—Office of Primary Responsibility

**OPSEC**—Operations Security

**PCL**—Personnel Security Clearance

**PCO**—Procuring Contracting Officer

**PM**—Program Manager

**RFB**—Request for Bid

**RFP**—Request for Proposal

**RFQ**—Request for Quote

**SAF**—Secretary of the Air Force

**SAP**—Special Access Program

**SAV**—Staff Assistance Visit

**SCI**—Sensitive Compartmented Information

**SM**—System Manager

**SPO**—System Program Office

**SOO**—Statement of Objectives

**SOW**—Statement of Work

**VGSA**—Visitor Group Security Agreement



### *Terms*

**Classified Contract**—Any contract that requires or will require access to classified information by the contractor or the employees in the performance of the contract. A contract may be classified even though the contract document itself is not classified.

**Cleared Facility**—A non-government owned and operated industrial, educational, commercial, or other facility for which DOD has made an administrative determination (from a security viewpoint) that the entity is eligible for and requires access to classified information of a certain category (Confidential, Secret, or Top Secret).

**Cognizant Security Office**—The designated Department of Defense (DOD) agency responsible for industrial security program administration. The Secretary of Defense (SECDEF) has designated the Defense Security Service (DSS) to perform this function. The Director of DSS has further delegated this responsibility downward within the agency. DSS Regional Directors provide industrial security administration for DOD contractor facilities located within their respective geographical area. One exception, for which ISPM has responsible, is DOD contractors on Air Force installation who have been designated as “visitor groups.” When used, the language “Cognizant Security Office” (CSO), always refers to DSS or an entity thereof.

**Information Security Program Manager (ISPM)**—This AF entity implements and administers the installation’s information, personnel and industrial security programs. The ISPM is responsible for supervising and overseeing on-base contractor’s security programs and/or operations.

**Installation**—An installation is an area in which the Air Force holds a real property interest or real property over which the Air Force has jurisdiction by agreement with a foreign government or by right of occupation. The term installation also includes all auxiliary off-base or detached installations under the jurisdiction of the commander of the primary installation.

**Integrated Visitor Groups**—An on-base contractor operation, cleared per the NISP or ISR, that requires access to classified information and operates under the direct control/supervision of the Air Force. The integrated visitor group is authorized to function in accordance with DOD 5200.1-R and AFI 31-401 per the VGSA. The Air Force maintains control of all classified and provides day-to-day supervision over this type of contractor operation. It basically differs from the on-base cleared facility because of its close interaction and/or relationship with the AF organization it supports.

**Interim Facility Security Clearances (Interim FCL)**—Interim FCL are temporary, limited company security clearances established by the DSS. It does not permit access to Restricted Data, COMSEC, North Atlantic Treaty Organization (NATO), SCI, SAP, or Arms Control and Disarmament Agency classified Information. However, if an interim Top Secret FCL is issued, the contractor may access such information at the level of Secret and Confidential. Interim FCLs may not be appropriate for all contractual needs and are not available for all sponsored companies.

**Intermittent Visitor**—A contractor or company, cleared per the NISP or ISR, that require “entry” to an Air Force installation for brief periods of time on a scheduled or on call basis to perform contractual duties. An intermittent visitor’s presence on an installation usually does not exceed 90 consecutive days.

**Invalidation**—A condition at a cleared facility caused by changed conditions or performance under which the facility may no longer be eligible for an FCL unless the facility promptly initiates appropriate corrective actions.

**Major Discrepancy**—A condition, which resulted in or could reasonably be, expected to result in the

loss or compromise of classified information.

**On-Base Cleared Facility**—An on-base contractor operation cleared under the provisions of the NISP and established at the discretion of the IC per DOD 5220.22-R. These entities operate under NISPOM guidance and the ISPM has been designated by the IC to provide security oversight.

**Reciprocity**—A reciprocal condition, relationship, mutual or cooperative agreement, between two or more agencies, components, or departments agreeing to recognize and accept the efforts (requirements, procedures, actions, etc.) of the other in exchange for the same compensation.

**Visitor Group**—Any on-base contractor operation, cleared per the NISP or ISR, that requires access to classified information. The installation commander determines their “official” on-base designation. (NOTE: All on-base contractor operations are considered “visitor groups,” per this AFI. The IC assesses and evaluates the working relationship and interactions between the visitor group and AF activity to determining their “official” designation, i.e., cleared facility, integrated visitor group or intermittent visitor).

**Visitor Group Security Agreement**—A documented and legally binding contractual agreement between an Air Force activity and a DOD contractor whereby the contractor commits to complying with, rendering or performing specific security tasks or functions for compensation. The VGSA attest to and certifies the existence of such an agreement, including applicable changes and amendments, attachments, supplements and exhibits.

**Attachment 1 (USAFE)****GLOSSARY OF REFERENCES AND SUPPORTING INFORMATION*****References***

DCID 6/4, *Personnel Security Standards and Procedures Governing Eligibility for Access to Sensitive Compartmented Information*

AFI 33-360, Volume 1, *Publications Management Program*

AFMAN 37-123, *Management of Records*

AFFARS, *Air Force Federal Acquisition Regulation Supplement*

USAFE Pamphlet 31-601, *Industrial Security Users Guide*

***Abbreviations and Acronyms***

**AF**—Air Force

**AFFARS**—Air Force Federal Acquisition Regulation

**DCID**—Director of Central Intelligence Directive

**GSU**—Geographically Separated Units

**HQ**—Headquarters

**ISPM**—Information Security Program Manager

**ISPM**—Information Security Program Manager

**IT**—Information Technology

**MOB**—Main Operating Base

**MSS**—Mission Support Squadron

**NACLC**—National Agency Check with Local Agency Check and Credit Check

**NAF**—Numbered Air Forces

**NATO**—North Atlantic Treaty Organization

**PR**—Periodic Reinvestigations

**PRS**—NACLC Periodic Reinvestigation

**PWS**—Performance Work Statement

**SBPR**—SSBI Periodic Reinvestigation

**SIPRNet**—Secret Internet Protocol Router Network

**SSA**—Servicing Security Activity

**SSBI**—Single Scope Background Investigation

**SSO**—Special Security Office

**US**—United States

**USAFE**—United States Air Forces in Europe

**VAL**—Visit Authorization Letter

## Attachment 2 (Added-USAFE)

IC 2004-1 TO AFI 31-601 USAFE SUPPLEMENT 1 INDUSTRIAL SECURITY  
PROGRAM MANAGEMENT

8 SEPTEMBER 2004

## SUMMARY OF REVISIONS

This update incorporates interim change (IC) 2004-01 to AFI 31-601, *Industrial Security Program Management*, United States Air Forces in Europe (USAFE) Supplement 1. The revision clarifies program management authority and responsibilities within USAFE, clarifies the requirement to include contractor security clearance eligibility and investigations requirements in contracts, solicitation, statement of works (SOW), statement of objectives (SOO), and or performance work statement (PWS) solicitations, specifies that Air Force Federal Acquisition Regulation Supplement (AFFARS) clause 5352.242-9001, *Background Checks for Contractor Personnel Requiring Entry/Access to Installations/Locations* does not apply to overseas locations, adds specific security requirements to be included on the DD Form 254, **Department of Defense Contract Security Classification Specification** for United States (US) contractors requiring access to the USAFE Secret Internet Protocol Router Network (SIPRNet), and clarifies the requirement to include AFFARS 5352.204-9000, *Notification of Government Security Activity and Visitor Group Security Agreements Clause* on the DD Form 254. The revision also adds the requirement to include the contract, solicitation, SOW, SOO, and or PWS with the initial draft DD Form 254 during coordination with the Information Security Program Manager (ISPM), clarifies required ISPM and Special Security Office (SSO) coordination on the DD Form 254 prior to contracting officer (CO) acceptance, adds DD Form 254 coordination requirements for contracts with multiple performance locations within USAFE, and clarifies the requirement for CO signature on and distribution of the DD Form 254 prior to contractor performance. Lastly, the revision clarifies Visitor Group Security Agreement (VGSA) development, coordination, and issuance for contracts at multiple performance locations within USAFE, includes information to reinforce AFFARS clause 5352.204-9000, and adds prescribed forms. This IC applies to all USAFE units, including assigned or attached Air Force Reserve Command (ARFC) and Air National Guard (ANG) units and personnel as appropriate. Refer recommended changes and conflicts between this and other publications to the Information and Personnel Security Office (HQ USAFE/SFXI), Unit 3050 Box 135, APO AE 09094-0135, on AF Form 847, **Recommendation for Change of Publication**. Units and organizations may supplement this guidance or create a unit instruction according to AFI 33-360, Volume 1, *Publications Management Program*. Send one copy of the supplement or unit instruction to HQ USAFE/SFXI. A bar (|) indicates revision from the previous edition.

1.5.3. The Director of Security Forces (HQ USAFE/SF) is the Information Security Program Manager (ISPM) for the command. The USAFE Information Security Branch (HQ USAFE/SFXI) manages the program for the command and provides direct oversight for the USAFE staff directorates, organizations, and units. Main Operating Bases (MOB) are responsible for ensuring supported Geographically Separated Units (GSU) and Numbered Air Force (NAF) staffs, when located on the MOB, comply with this program. NAFs are responsible for ensuring contingency sites and other locations under their oversight and control comply with this program.

1.6.2.1. The Air Force (AF) program, project or activity must ensure the contract, solicitation, statement of work (SOW), statement of objectives (SOO), and or performance work statement (PWS) solicitations, includes the requirement for contractors to possess and maintain the required clearance eligibility based

on a current personnel security investigation commensurate with the classification of the contract, and submit periodic reinvestigations (PR) when required; specifically:

1.6.2.1.1. (Added) For contracts, delivery orders, solicitations, etc., requiring access to United States (US) Secret information, the statement “Contractors must possess and maintain US Secret clearance eligibility, based on a Single Scope Background Investigation (SSBI), SSBI Periodic Reinvestigation (SBPR), National Agency Check with Local Agency Check and Credit Check (NACLC), or NACLC-PR (PRS) conducted within the past 10 years. Additionally, PRs must be submitted 9 years and 6 months from the date of the last SSBI, SBPR, NACLC, or PRS.”

1.6.2.1.2. (Added) For contracts, delivery orders, solicitations, etc., requiring access to US Top Secret information and or eligibility for Sensitive Compartmented Information (SCI), Director of Central Intelligence Directive (DCID) 6/4, the statement “Contractors must possess and maintain SCI DCID 6/4 or Top Secret clearance eligibility, based on a SSBI or SBPR conducted within the past 5 years. Additionally, PRs must be submitted 4 years and 6 months from the date of the last SSBI or SBPR.”

1.6.3.1. Air Force Federal Acquisition Regulation Supplement (AFFARS) clause 5352.242-9001, *Background Checks for Contractor Personnel Requiring Entry/Access to Installations/Locations* does not apply to overseas locations.

4.1.2. The AF program, project or activity must ensure the security requirements outlined below are included when preparing the DD Form 254.

4.1.2.1. (Added) For US contractors requiring access to the USAFE Secret Internet Protocol Router Network (SIPRNet):

4.1.2.1.1. (Added) Section 10, Block g on the DD Form 254 must be marked “yes” and the statement “Be Authorized SIPRNet Access” entered in Section 11, Block I; and:

4.1.2.1.2. (Added) The statement “Ref: 10g, The contractor is permitted access to North Atlantic Treaty Organization (NATO) information in performance of this contract. Access to NATO information requires interim or final United States clearance eligibility at the appropriate level and a NATO briefing. The commander of the AF program, project or activity responsible for the contract, delivery order, etc., will ensure the contractor is in-briefed and when appropriate, debriefed. Prior approval of the contracting activity is required for subcontracting” must be entered into Section 13 on the DD Form 254.

4.1.2.2. (Added) Section 14 must include the statement “Provide the information requested by the Notification of Government Security Activity and Visitor Group Security Agreements Clause, AFFARS 5352.204-9000, to (the unit and office symbol of the ISPM identified in Section 13). Refer to the contract document for this clause.” This statement supersedes the requirement outlined in USAFE Pamphlet 31-601, *Industrial Security Users Guide*, paragraph A2.12.1.

4.2.1. The AF program, project or activity will attach a copy of the contract, solicitation, SOW, SOO, and or PWS when coordinating the initial draft DD Form 254 with the ISPM.

4.2.3. The appropriate ISPM (paragraph 4.3.1.) and, if the contract, solicitation, delivery order, etc., involves SCI, the USAFE Special Security Office (SSO) (HQ USAFE/A2S) must coordinate on all initial draft and final DD Form 254s. All DD Form 254s developed by an installation ISPM that require SSO coordination will be forward to HQ USAFE/A2S through HQ USAFE/SFXI. The ISPM and SSO (if applicable) review and coordination must be indicated in Block 13 (office symbol, date and initials of reviewer) on the final DD Form 254. All final DD Form 254s that do not include and SSO (when applicable) coordination in Block 13 must not be accepted by the contracting officer (CO).

4.3.1. Initial draft and final DD Form 254s for contracts, delivery orders, solicitations, etc., issued by the 700 Contracting Squadron (700 CONS) and or any USAFE CO that specifies performance at multiple MOBs, GSUs, or a combination of multiple MOBs and GSUs, and or performance with a USAFE staff directorate, organization or unit must be reviewed by HQ USAFE/SFXI. Initial draft and final DD Form 254s that specify a performance at a single MOB and or their supported GSUs will be reviewed by the appropriate USAFE installation ISPM.

4.4.2. The appropriate CO must provide a signed copy of the DD Form 254 to the ISPM at each performance location specified on the DD Form 254 **before** contractor performance begins.

4.4.3. The CO will, as a minimum, distribute the DD Form 254 to the AF program, project or activity responsible for the contract, delivery order, etc., HQ USAFE/SFXI, the appropriate ISPM, and, if the contract, delivery order, etc., involves SCI (item 10e(1) is checked "yes") the SSO (HQ USAFE/A2S).

4.5.4. HQUSAFE/SFXI will develop, coordinate, and issue the Visitor Group Security Agreements (VGSA) for all contracts, delivery orders, solicitations, etc., issued by 700 CONS and or any USAFE CO that involves performance at multiple MOBs, GSUs, or a combination of multiple MOBs and GSUs, performance with a USAFE staff directorate, organization or unit, or when HQ USAFE/SFXI is the responsible ISPM for the review, coordination, signature, etc., in Block 13 of the DD Form 254. USAFE installation ISPMs will develop and issue the VGSA for all contracts, delivery orders, solicitations, etc., that involve performance on their MOB, supported GSUs, or when they are responsible for the review, coordination, signature, etc., in Block 13 of the DD Form 254.

4.5.6. In accordance with AFFARS clause 5352.204-9000, *Notification of Government Security Activity and Visitor Group Security Agreements*, the VGSA must be signed by the ISPM and an authorized company official before contractor operations involving classified information begin at any performance location specified on the DD Form 254.

6.2.4.2. ISPMs will maintain a copy of the VGSA.

6.2.4.6. Maintaining a copy of the visit authorization letter (VAL) is **optional** for the ISPM.

10.2. The USAFE SSO (HQ USAFE/A2S) is responsible for SCI program management within USAFE and will provide security reviews and oversight responsibility for visitor groups. Reviews and oversight are limited only to specific SCI information.

### **12.3. (Added) Forms Adopted: AF Form 847, Recommendation for Change of Publication**

#### **Attachment 1**

### **GLOSSARY OF REFERENCES AND SUPPORTING INFORMATION**

#### ***References***

DCID 6/4, *Personnel Security Standards and Procedures Governing Eligibility for Access to Sensitive Compartmented Information*

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